

## Tip-Enhanced Raman Scattering (TERS)

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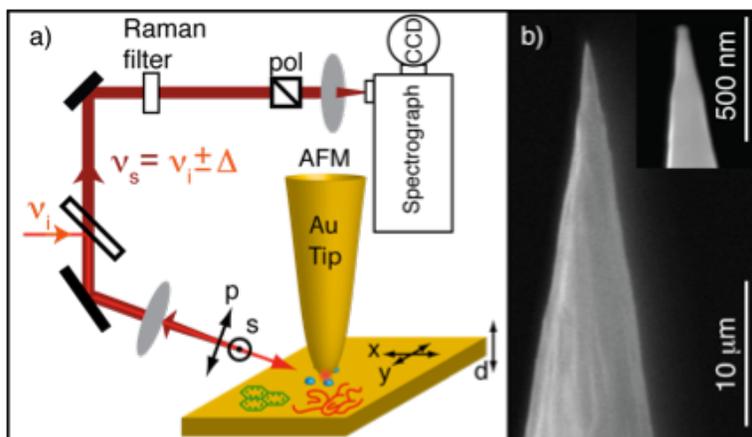
### Background

The ability to identify small quantities of adsorbed analyte or structural features down to the few-molecule level is a key challenge in nanotechnology. Optical spectroscopy provides an attractive means to achieve such identification via non-invasive implementations and the potential for chemical sensitivity. Raman scattering has emerged as a particularly powerful technique due to its capability for nondestructive vibrational fingerprinting using only monochromatic visible light sources; unfortunately, this method suffers from weak signal levels, preventing the study of small quantities of molecules or other structures.

Enhancement of the optical electric field as necessary to improve Raman signal levels can be achieved by utilizing localized plasmon resonances in noble metal nanoparticles. These resonances, which result from localized charge density oscillations, can yield a Raman enhancement of up to 10 orders of magnitude via the surface-enhanced Raman scattering (SERS) effect.<sup>1</sup> In conventional SERS, however, the enhancement can be difficult to control and remains spatially confined to stationary nanoparticles or surface features.

### Figure 1.

(a) Illustration of a TERS experiment. The incident light is focused onto the tip-sample gap through a long-working-distance microscope objective. The tip-sample distance is achieved via AFM or STM feedback. The backscattered light is collected through the same objective, spectrally filtered, and detected using a spectrograph with a  $N_2(l)$ -cooled CCD array. (b) SEM image of an electrochemically etched Au tip and a high-resolution image of the tip apex with a radius of  $\sim 20$  nm shown in the inset.



To obtain a microscopy technique based on the same general principle, tip-enhanced Raman scattering (TERS) can be implemented as a scanning probe method, providing optical nanometer spatial resolution. Here, rather than relying on the field enhancement of stationary

nanoparticles, a plasmon resonance located at the apex of a noble metal scanning probe tip is utilized. The inherent flexibility of a scanning probe system affords the freedom for spatially resolved spectroscopic probing and imaging of surface analytes.

By virtue of the ultrahigh optical spatial resolution<sup>2</sup> resulting from the spatial confinement of the near-field of the apex plasmon, combined with enhanced sensitivity, TERS has been utilized to study a variety of systems. Using dyes deposited onto metallic substrates as model systems, single-molecule sensitivity has been demonstrated.<sup>3-5</sup> Other systems have included biologically relevant molecules and systems,<sup>6-7</sup> cells,<sup>8</sup> and materials of reduced dimensionality, such as graphene and carbon nanotubes.<sup>9</sup> Furthermore, as a spectroscopic tool of fundamental importance to the investigation of solid-state systems, TERS has been used to study crystalline systems ranging from semiconductors<sup>10</sup> to ferroelectrics. In particular, the intrinsic symmetry of tensor-based Raman selection rules in combination with the polarization-dependent tip enhancement has enabled the imaging of ferroelectric domains in perovskite  $\text{BaTiO}_3$ .<sup>11</sup>

## Setup

A schematic of the experimental setup utilized by the Raschke group at the University of Colorado is shown in Figure 1(a). The illumination wavelength should be chosen to match the plasmon resonance position of the tip apex. The plasmon resonance is spectrally broad (~100 nm) for the geometries obtained by electrochemical etching; Au tips often exhibit plasmon resonances in the 600 – 800 nm range. However, empirical tip selection by trial and error must be performed to select a suitable tip for, as an example, the frequently used 632.8 nm HeNe laser line. Furthermore, TERS and SERS both experience Raman enhancement not only via the amplification of the incident light, but via the Raman scattered light, which is enhanced as well, with the relative amplitudes of the enhancement being related to the strength of the plasmon at the spectral position of both the excitation and Raman emission wavelengths.<sup>12</sup> As a consequence, it is usually desirable to utilize an excitation wavelength relative to the tip apex plasmon spectrum such that the enhancement is high at both the excitation and Raman-scattered wavelengths. Due to the  $\infty$ mm symmetry of the tip, the field enhancement is polarization dependent and maximum for tip-parallel polarizations.

The TERS light can be collected with the same objective in a backscattering geometry in which it passes a beamsplitter, is spectrally filtered using a Raman edge filter, and detected using an imaging spectrograph with a liquid nitrogen-cooled CCD camera. In order to measure low signal levels requiring long acquisition times and to reduce imaging aberrations, the Raschke group utilizes an Acton Series SP-500i in combination with a Spec-10 camera (1340 x 100 pixel array), both from Princeton Instruments. Direct confocal imaging of the tip apex onto the entrance slit of the spectrometer is preferred over the use of intermediate fiber coupling with its unavoidable losses and additional alignment uncertainties.

The need for accurate tip-sample distance control and sample scanning requires the use of a scanning probe microscope: generally either a scanning tunneling microscope (STM) or an atomic force microscope (AFM). Scanning tunneling microscopy provides the ability to

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control a tip a few nanometers above a surface, but the necessity for conductive samples renders it unsuitable for many TERS applications. Atomic force microscopy, meanwhile, is highly effective for studying nonconductive samples. Commonly used AFM tapping and noncontact modes utilize high dither amplitudes though, resulting in a smaller time-averaged field enhancement near the sample surface. Therefore, AFM contact or shear-force modes are frequently used instead. In particular, shear-force mode maintains the tip at a constant height of a few nanometers above the sample surface, thus preventing tip damage, while small lateral dither amplitudes do not compromise the spatial resolution. The Raschke group utilizes shear-force feedback in their home-built system.

As noble metal tips are not yet commercially available, the Raschke group fabricates Au tips via an electrochemical etching procedure.<sup>13</sup> The resultant tips have a smooth surface and uniform taper, as shown in Figure 1(b), with tip apex radii on the order of tens of nanometers, reproducibly achievable using an electronic cut-off circuit to terminate the etching process. Alternately, thermal vapor deposition of noble metals onto commercial AFM tips can result in TERS-active tips.

## TERS

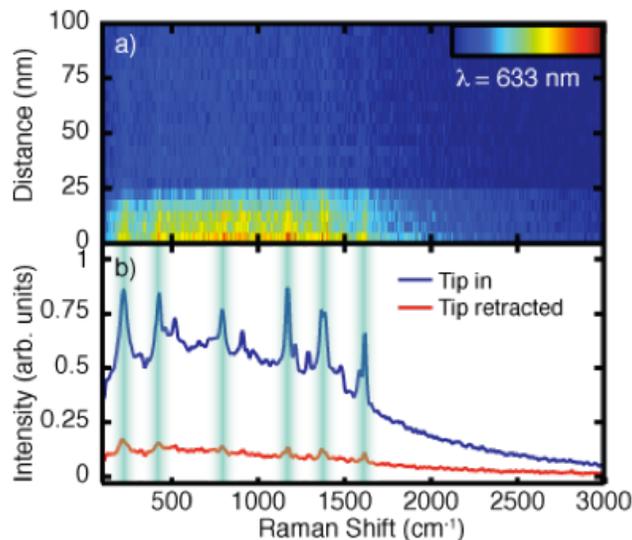
Associated with the high field enhancement is a spatial confinement of the near-field surrounding the TERS tip, allowing optical spatial resolution down to ~10 nm. The spatial extent of the near-field becomes apparent in Figure 2(a), which shows TERS spectra acquired for decreasing separations between the tip and a monolayer of the dye Malachite Green deposited onto a Au substrate. For tip-sample distances greater than 30 nm only, a far-field background signal is observed. For shorter separations, however, an increase in the signal is seen for decreasing distances, correlated with the spatial extent of the enhanced near-field and the tip apex radius. From TERS spectra acquired with the tip in shear-force feedback, the characteristic peaks of Malachite Green are seen, as shown in Figure 2(b), as well as a broad luminescence background resulting from the resonant Raman excitation.

In this case, from the near- to far-field Raman contrast, one can estimate a Raman enhancement of  $>10^5$ , while values of  $>10^8$  are achievable.<sup>12</sup> The increased sensitivity associated with this field enhancement in combination with the unique Raman spectrum allows, in principle, the identification of surface adsorbates or other features. Furthermore, with the ability to probe molecular vibrations or crystal phonons with nanometer spatial resolution and imaging capabilities, tip-enhanced Raman scattering is not only a powerful analytical technique but a powerful tool for fundamental solid-state research.

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## Figure 2.

(a) Approach curve with spectra acquired for varying tip-sample separation with resonant excitation of a monolayer of the dye Malachite Green deposited on a Au substrate. Due to the high spatial confinement of the enhanced optical field at the tip apex, the signal is seen to increase only with tip-sample separations <25 nm. From the approach curve in (a) and the spectrum acquired with the tip in shear-force feedback (b), the characteristic peaks of Malachite Green are observed. The near-field contribution to the TERS signal in (b) becomes apparent when compared with the far-field background signal observed with the tip retracted.



It should be noted, however, that the far-field background signal seen in Figure 2 is frequently observed in TERS experiments. Originating from the diffraction-limited excitation focus, this background can be reduced via careful experimental consideration. Nonetheless, it is often present and can overpower the desired near-field signal and lead to signal artifacts.

In ongoing work, the Raschke group is exploring means for improving the versatility and applicability of TERS. To this end, the group has been developing the grating coupling of surface plasmon polaritons onto the shaft of electrochemically etched Au tips for adiabatic nanofocusing.<sup>14-15</sup> As the resultant nanometer spatially confined excitation at the tip apex is generated nonlocally, the spatial separation of the incident light at the grating from the apex-localized excitation eliminates the primary pathway by which far-field background is generated in TERS.

At longer wavelengths, this background-free TERS also yields highly improved focusing efficiency, allowing TERS in the near-IR despite the  $1/\lambda^4$  dependence of Raman scattering. Highly efficient spectrometers and CCD cameras, such as the Acton Series LS-785 lens-based imaging spectrograph<sup>16</sup> and the PyLoN:100BR\_eXcelon cryogenically cooled CCD camera from Princeton Instruments, enable detection of the weak signals. The significantly improved background suppression will enable the study of large or bulk structures, where the far-field background is typically strong in conventional TERS. In addition, the ability to deliver energy to a nanoconfined excitation will find applications not only in TERS but in the localized control of surface plasmons and ultrafast pulses.

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## Resources

For more information about innovative and versatile spectroscopy solutions from Princeton Instruments, please visit: [www.princetoninstruments.com](http://www.princetoninstruments.com)

For more information about the Raschke group in the Department of Physics at the University of Colorado, please visit: <http://nano-optics.colorado.edu/index.php?id=3>

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